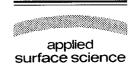


Applied Surface Science 107 (1996) 63-67



## STM study of Si(111) $\sqrt{3}$ × $\sqrt{3}$ -R30°-B surface structure formed by HBO<sub>2</sub> irradiation

Koji Miyake <sup>a</sup>, Kenj Hata <sup>a</sup>, Hidemi Shigekawa <sup>a,\*</sup>, Ryozo Yoshizaki <sup>b</sup>, Takeru Abe <sup>c,1</sup>, Takehiro Ozawa <sup>c</sup>, Toshihiko Nagamura <sup>c</sup>

<sup>a</sup> Institute of Materials Science and Center for Tsukuba Advanced Research Alliance, University of Tsukuba, Tsukuba 305, Japan
<sup>b</sup> Cryogenics Center, Institute of Applied Physics, and Center for Tsukuba Advanced Research Alliance, University of Tsukuba, Tsukuba 305, Japan

Cunisoku Co., Ltd. Omine-motomachi, Hirakata, Osaka 573-01, Japan

Received 12 October 1995; accepted 24 December 1995

## Abstract

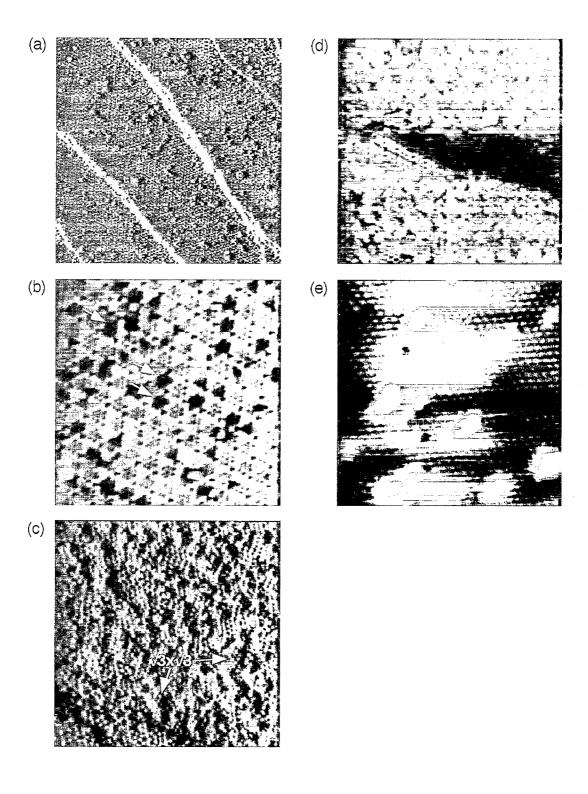
With irradiation of HBO $_2$  molecules onto the Si(111)-7  $\times$  7 surface at  $\sim$  750°C, the  $\sqrt{3}$   $\times$   $\sqrt{3}$  structure, where B atoms occupy the  $T_4$  sites, was formed as predicted from previous electron diffraction measurements. In the initial stage, HBO $_2$  molecules were found to react with the unfolded half-units of the Si(111)-7  $\times$  7 surface. When the  $\sqrt{3}$   $\times$   $\sqrt{3}$  structure was heated at  $\sim$  900°C for 5 s, another  $\sqrt{3}$   $\times$   $\sqrt{3}$  structure was formed. Upon comparison between the two structures, the high-temperature phase was attributed to the structure where B atoms occupy the  $S_5$  sites.

Adsorption of foreign atoms on Si surface has attracted the great interest of many researchers. In particular, the behavior of boron (B) atoms on the Si(111) surface has been the subject of intense studies within the last several years because of its high potential as a candidate for the surfactant process to control crystal growth [1–8]. Generally, surface B atoms are introduced by using the surface segregation process of highly doped B atoms in the bulk crystal. In such a case, the  $\sqrt{3} \times \sqrt{3}$  reconstructed

structure is formed and a structural model where surface B atoms occupy the threefold sites underneath the Si adatoms (S<sub>5</sub> sites) was proposed. On the other hand, recently HBO2 irradiation was reported to form another  $\sqrt{3} \times \sqrt{3}$  structure on Si(111) surface [9,10], based on the following observations: (1)  $Si(111)\sqrt{3} \times \sqrt{3}$  -R30°-B electron diffraction pattern is completed at 1/3 monolayer (ML) even at the low sample temperature of ~700°C; (2) B coverage is saturated at 1 ML, and no additional B atoms can be deposited on the surface. Because the substrate temperature during HBO2 irradiation was lower than the B diffusion temperature in this case, adsorbed B atoms are assumed to exist on the topmost layer (T<sub>4</sub> sites). In such a case, surface dangling bonds of Si adatoms will be terminated, which is a very impor-

<sup>\*</sup> Corresponding author. Fax: +81-298-557440; e-mail: hidemi@mat.ims.tsukuba.ac.jp.

Permanent address: Department of Material Science, Ryukoku University, Otsu, Shiga 520-21, Japan.



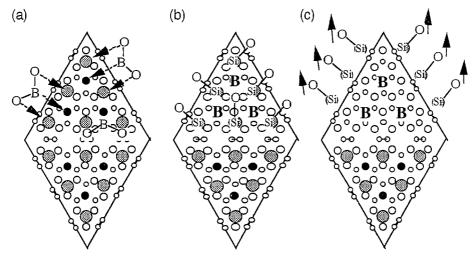


Fig. 2. A schematic model for the HBO<sub>2</sub> reaction with the Si(111)-7  $\times$  7 surface.

tant characteristic for controlling the surface/interface electronic states.

In this paper, we present the results of scanning tunneling microscopy (STM) observations of the  $Si(111)\sqrt{3} \times \sqrt{3}$ -R30°-B surface structural formation by HBO<sub>2</sub> irradiation.

Arsenic-doped n-type Si(111) substrates were chemically cleaned and transferred into an ultrahigh vacuum (UHV) chamber. Samples were degassed for one day at  $\sim 500^{\circ}\text{C}$  and subjected to flash heating ( $\sim 1100^{\circ}\text{C}$ ). After confirmation of the clean  $7\times7$  structure, sample temperature was kept at 650–750°C, and HBO2 was irradiated on these surfaces. HBO2 cell temperature during irradiation was  $\sim 800^{\circ}\text{C}$  and irradiation time was varied from 30 s. Samples were cooled to room temperature after treatments, and observed by STM. All STM observation was performed at room temperature using an electrochemically etched W tip. The base pressure was  $\sim 1\times 10^{-10}$  Torr and the pressure during HBO2 irradiation was  $\sim 2\times 10^{-8}$  Torr.

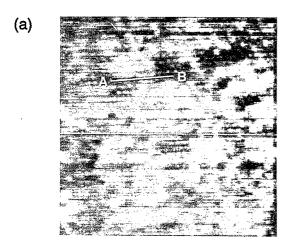
Fig. 1 shows the STM images obtained after

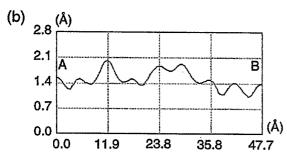
HBO $_2$  irradiation with the Si substrate temperature  $T_{\rm sub}$  of (a)  $\sim 650^{\circ}{\rm C}$ , and (b) to (e)  $\sim 750^{\circ}{\rm C}$ . When  $T_{\rm sub}$  was  $\sim 650^{\circ}{\rm C}$ , island structures were formed as shown in Fig. 1(a). Since Si–O molecules remain on the surface at  $\sim 650^{\circ}{\rm C}$ , surface oxidation may occur and result in the observed island structure. In the case that  $T_{\rm sub}$  was  $\sim 750^{\circ}{\rm C}$ , however, B-induced  $\sqrt{3} \times \sqrt{3}$  structure was formed (Fig. 1(b)–(e)). These results are in good agreement with the results obtained previously by electron diffraction measurements [10].

Fig. 1(b)–(e) show the STM images obtained for the surface formed by HBO<sub>2</sub> irradiation of (b) 2 min, (c) 5 min, (d) 6 min and (e) 10 min, respectively. The substrate temperature was kept at  $\sim 750^{\circ}\text{C}$  for (b) to (e). In the initial stage, Si(111)-7 × 7 structure still remained, but some unfolded half-units of the 7 × 7 structure became dark as shown in Fig. 1(b), which indicates that HBO<sub>2</sub> molecules react preferentially with the unfolded half-unit initially. This preference is supposed to be caused by the negative charge remaining on the BO<sub>2</sub> ions after dissociation

Fig. 1. STM images of Si(111) surface after HBO $_2$  irradiation. Irradiation time, tip vias voltage  $V_t$ , tunneling current  $I_t$  and size of scanning area are (a) 2 min, 1.8 V, 1.0 nA, 184 nm × 184 nm, (b) 2 min, 2.0 V, 0.4 nA, 33.5 nm × 33.5 nm, (c) 5 min, 2.0 V, 0.32 nA, 33.3 nm, × 33.3 nm, (d) 6 min, 3.0 V, 0.33 nA, 33.5 nm × 33.5 nm, (e) saturated, -2.09 V, 0.43 nA, 16.3 nm × 16.3 nm, respectively. Sample temperature during HBO $_2$  irradiation was kept at  $\sim 650^{\circ}$ C for (a), and  $\sim 750^{\circ}$ C for (b) to (e).

of HBO<sub>2</sub> molecules, similarly to the case of AlCl<sub>3</sub> molecular adsorption on Si(111)-7 × 7 surface. When HBO<sub>2</sub> irradiation was continued, the  $7 \times 7$  structure was broken down into the disordered structure in a large area. In addition, B-induced  $\sqrt{3} \times \sqrt{3}$  structure was partially created in the disordered region (Fig. 1(c)). With further HBO<sub>2</sub> irradiation,  $\sqrt{3} \times \sqrt{3}$ 





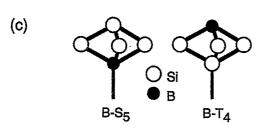


Fig. 3. (a) STM image obtained after heating the sample of  $\sqrt{3} \times \sqrt{3}$  structure with  $T_4$ -site B atoms (Fig. 2(e)) at  $\sim 900^{\circ}\text{C}$  for 5 s ( $V_t = 1.38 \text{ V}$ ,  $I_t = 0.50 \text{ nA}$ , 17.2 nm×17.2 nm). (b) Cross section along A-B line in Fig. 3(a). (c)  $\sqrt{3} \times \sqrt{3}$  structural models which have  $S_5$ -site (left) and  $T_4$ -site (right) B atoms, respectively.

structure was observed to be grown over a wide area (Fig. 1(d)), and finally formed a complete  $\sqrt{3} \times \sqrt{3}$  structure as shown in Fig. 1(e).

Fig. 2 shows a schematic model of the HBO, reaction process on the Si(111)-7  $\times$  7 surface. In the regular 7 × 7 unit cell, charge transfer occurs from adatoms to rest atoms [11,12]. Therefore, rest atoms act as electron donors and adatoms do as electron acceptors in the chemical reaction process on the Si(111)-7  $\times$  7 surface. In fact, in the case of AlCl<sub>3</sub> reaction with the Si(111)-7  $\times$  7 surface [13], aluminum atoms react with rest atoms and chlorine atoms react with adatoms according to the difference in the electronegativity between Al and Cl atoms. Therefore, in the case of HBO<sub>2</sub> molecules, oxygen and B atoms are expected to react with adatoms and rest atoms, respectively (Fig. 2(a)). Thus the O-B bond becomes broken, and B atoms remain on Si rest atoms while O atoms remain on the Si adatoms (Fig. 2(b)). Because the Si substrate temperature during  $HBO_2$  irradiation is kept at ~ 750°C, O atoms react with Si adatoms and will be evaporated as SiO molecules (Fig. 2(c)), the structure of which can be seen in Fig. 1(b) as indicated by arrows. When adatoms on the faulted halves are removed with further HBO2 irradiation, B atoms occupy the T4 sites and form the  $\sqrt{3} \times \sqrt{3}$  structure. The number of O atoms is twice that of B atoms, and eight Si adatoms are evaporated as SiO molecules until one  $\sqrt{3} \times \sqrt{3}$  unit cell is formed. According to this mechanism, before the complete  $\sqrt{3} \times \sqrt{3}$  structural formation, a wide Si disordered area must be created, which agrees with our STM observation (Fig. 1(c)). The B atoms occupy the T<sub>4</sub> adatom sites on the basis of our model.

According to the photoemission study,  $T_4$ -site B atoms on the  $\sqrt{3} \times \sqrt{3}$  structure diffuse inward with annealing at  $\sim 900^{\circ}\text{C}$ , and form the other  $\sqrt{3} \times \sqrt{3}$  structure where B atoms occupy the  $S_5$ -sites [14]. Fig. 3(a) shows the structural change observed in the  $\sqrt{3} \times \sqrt{3}$  structure with annealing at  $\sim 900^{\circ}\text{C}$  for 5 s. There exist two kinds  $\sqrt{3} \times \sqrt{3}$  structures with different brightness as shown in Fig. 3(a). A cross section over the two  $\sqrt{3} \times \sqrt{3}$  structures is shown in Fig. 3(b). The height difference between the two phases is about 0.04 nm, which is close to the value previously observed for the Si adatoms with and without  $S_5$ -site B atoms [2]. The high temperature

 $\sqrt{3} \times \sqrt{3}$  phase formed by annealing is considered to be the  $\sqrt{3} \times \sqrt{3}$  structure with S<sub>5</sub>-site B atoms.

Conclusively, when HBO $_2$  molecules were irradiated onto the Si(111)-7  $\times$  7 surface at  $\sim 650^{\circ}\text{C}$ , island structures were formed. On the other hand, when the sample temperature was kept at  $\sim 750^{\circ}\text{C}$  during the HBO $_2$  irradiation,  $\sqrt{3} \times \sqrt{3}$  structure with  $T_4\text{-site B}$  atoms was formed. HBO $_2$  molecules were found to react with the unfolded half-units of Si(111)-7  $\times$  7 initially. With the heat treatment of the  $\sqrt{3} \times \sqrt{3}$  structure with  $T_4\text{-site B}$  atoms up to 900°C, B atoms diffused toward inside, and the  $\sqrt{3} \times \sqrt{3}$  structure with  $S_5\text{-site B}$  atoms was formed.

## Acknowledgements

We wish to thank Dr. Y. Kumagai of T.I. Tsukuba R&D center for fruitful discussion. This work was supported in part by a Grant-in-Aid for Scientific Research from the Ministry of Education, Science and Culture of Japan. Support from Shigekawa project of TARA, University of Tsukuba is also acknowledged. One of the authors (K.M.) was financially supported by the Japan Society for the Promotion of Science (JSPS) Fellowships for Japanese Junior Scientists.

## References

- R.L. Headrick, I.K. Robinson, E. Vlieg and L.C. Feldman, Phys. Rev. Lett. 63 (1989) 1253.
- [2] I.-W. Lyo, E. Kaxiras and Ph. Avouris, Phys. Rev. Lett. 63 (1989) 1261.
- [3] P. Bedrossian, R.D. Meade, K. Mortensen, D.M. Chen, J.A. Golovchenko and D. Vanderbilt, Phys. Rev. Lett. 63 (1989) 1257.
- [4] T.M. Grehk, P. Martensson and J.M. Nichols, Phys. Rev. B 46 (1992) 2357.
- [5] H. Huang, S.Y. Tong, J. Quinn and F. Joda, Phys. Rev. B 41 (1990) 3276.
- [6] A.B. Maclean, L.J. Terminello and F.J. Himpsel, Phys. Rev. B 41 (1990) 7694.
- [7] T.L. Lin, R.W. Fathauer and P.J. Grunthaner, Appl. Phys. Lett. 55 (1989) 795.
- [8] E. Kaxiras, K.C. Pandey, F.J. Himpsel and R.M. Tromp, Phys. Rev. B 41 (1990) 1262.
- [9] H. Hirayama, T. Tatsumi and N. Aizaki, Surf. Sci. 193 (1988) L47.
- [10] Y. Kumagai, K. Ishimoto, R. Mori and F. Hasegawa, Jpn. J. Appl. Phys. 33 (1994) L1.
- [11] Ph. Avouris and R. Wolkow, Phys. Rev. B 39 (1989) 5091.
- [12] Ph. Avouris, I.-W. Lyo and F. Bozso, J. Vac. Sci. Technol. B 9 (1991) 424.
- [13] K. Uesugi, T. Takiguchi, M. Izawa, M. Yoshimura and T. Yao, Jpn. J. Appl. Phys. 32 (1993) 6200.
- [14] R. Cao, X. Yang and P. Pianetta, J. Vac. Sci. Technol. A 11 (1993) 1817.